



**NORTH ORANGE COUNTY  
COMMUNITY COLLEGE DISTRICT**

---

*Greatness. Achieved.*

**CALIFORNIA UNIFORM PUBLIC CONSTRUCTION COST ACCOUNTING ACT (CUPCAA)  
INFORMAL BIDDING PREQUALIFICATION APPLICATION**

**FOR THE 2021 CALENDAR YEAR**

**Return Application to:**

**By Mail:**

**North Orange County CCD  
Purchasing Department  
Attn: Nghia Danny Tran  
1830 W. Romneya Drive, 8<sup>th</sup> floor  
Anaheim, CA 92801**

**Or by Email:**

**[ntran@nocccd.edu](mailto:ntran@nocccd.edu)**

**INSURANCE REQUIREMENTS**

**Minimum Insurance Coverage Requirements for 2021 Prequalification**

**Contractor Insurance.** The Contractor shall obtain and maintain the following insurance coverages with minimum coverage amounts as set forth in the table below:

<b>INSURANCE POLICY</b>	<b>MINIMUM COVERAGE AMOUNT (\$)</b>
Commercial General Liability Including: <ol style="list-style-type: none"> <li>1. Owned, non-owned and hired vehicles;</li> <li>2. Blanket contractual;</li> <li>3. Broad form property damage;</li> <li>4. Products/completed operations; and</li> <li>5. Personal injury</li> </ol>	Combined Single Limit, Bodily Injury and Property Damage Per Occurrence: One Million Dollars (\$1,000,000) Aggregate: Three Million Dollars (\$3,000,000)
Professional Liability, including Contractual Liability	One Million Dollars (\$1,000,000), per claim Aggregate: Three Million Dollars (\$3,000,000)
Auto Liability	One Million Dollars (\$1,000,000) for bodily injury and property damage each accident limit Aggregate: Three Million Dollars (\$3,000,000)
Works Compensation	One Million Dollars (\$1,000,000), per claim and in accordance to the laws of the State of California
Builders Risk	Full insurable value of the Work; Seismic coverage: <ul style="list-style-type: none"> <li><input type="checkbox"/> Not Required</li> <li><input type="checkbox"/> Required</li> </ul> Specified per Job

**As required (per job):**

**The following sentence shall be included in the additional insurance endorsement under in the Certificate of Insurance:**

“North Orange County Community College District, its Governing Board, as individuals and as an entity, its officers, directors, employees, agents, and volunteers, are hereby named as additional insured, with respect to all work performed by or on behalf of the named insured under its contract with the Certificate Holder.”

**2021 CONTRACTOR INFORMATION – CUPCCAA**

Firm Name: \_\_\_\_\_  
(as it appears on license)

Check one:  Corporation  
 Partnership  
 Sole Proprietorship

DBA: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Contact Person(s): \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email Address: \_\_\_\_\_

DIR Registration #: \_\_\_\_\_

License Number:	Classification(s):	Expiration Date:
_____	_____	_____
_____	_____	_____
_____	_____	_____

Primary Type of Work Interest: \_\_\_\_\_

Secondary Type of Work Interest: \_\_\_\_\_

Bonding Capacity (per Contract): \$ \_\_\_\_\_

Name of Bonding Company: \_\_\_\_\_

**CERTIFICATION OF THE CUPCCAA PREQUALIFICATION STATEMENT**

The Contractor certifies under penalty of perjury under the laws of the State of California that all of the information contained in this pre-qualification statement is true and correct based upon facts known to the Contractor.

Signature (required): \_\_\_\_\_

Print Name: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

**ESSENTIAL REQUIREMENTS FOR QUALIFICATION**

**NOTE: Contractor shall be disqualified if the answer to questions number 1 through 3 is “NO”**

1. Do you have a liability insurance policy with policy limit of at least \$1 Million per occurrence and \$3 Million aggregate?  
 YES       NO
  
2. Do you have a current Workers Compensation Insurance policy as required by the State of California Labor Code or is legally Self Insured pursuant to Labor Code Section 3700 et seq?  
 YES       NO
  
3. Are you a DIR Registered contractor?  
 YES       NO

**NOTE: Contractor shall be disqualified if the answer to questions number 4 through 6 is “YES”**

4. Has your license been revoked at any time in the last five (5) years?  
 YES       NO
  
5. Has the Surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the Owner within the last five (5) years?  
 YES       NO
  
6. At the time of submitting this Pre-Qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a Sub-Contractor on a public works contract, pursuant to either Labor Code Section 1777.1 (Violation of public works labor laws) or Labor Code Section 1777.7 (Violation of apprenticeship requirements)?  
 YES       NO

If YES, state beginning and ending dates of the period of debarment.

Beginning: \_\_\_\_\_ Ending: \_\_\_\_\_

NOTE: A Contractor disqualified solely because of a “YES” answer given to question 4, 5, or 6 may appeal the disqualification and provide an explanation of the relevant circumstance during the appeal procedure.

**ORGANIZATION, HISTORY, PERFORMANCE COMPLIANCE WITH CIVIL AND CRIMINAL LAW**

**NOTE: If answer to any of the following questions is “YES”, please explain on a separate signed sheet.**

1. Is your firm **currently** the debtor in a bankruptcy case? Has your firm been in a bankruptcy case at any time during the last five (5) years?  
 YES       NO
  
2. Has your license(s) been suspended by the California State License Board (CSLB) or any of your Responsible Managing Employee (RME) or Officer (RMO) been suspended within the last five (5) years?  
 YES       NO
  
3. Has your firm been assessed and paid Liquidated Damages after completion of a project under a construction contract with either public or private owner within the last five (5) years?  
 YES       NO
  
4. In the last five (5) years, has your firm, or any firm with which any of your company’s owners, officers, or partners were “associated with”, been debarred, disqualified, removed, or otherwise prevented from bidding on, or competing at any government agency or public works project for any reason?  
 YES       NO  
*(NOTE: “Associated With” refers to another construction firm in which an owner, partner, or officer of your firm held similar position.)*
  
5. In the last five (5) years, has your firm been denied an award of a public works contract based on a finding by a public agency that your firm was **NOT a RESPONSIBLE** bidder?  
 YES       NO
  
6. In the past five (5) years, has any claim **against** your firm or any firm with which any of your company’s owners, officers, or partners were “associated with” concerning your firm’s work on a construction project been **filed in court or arbitration**?  
 YES       NO
  
7. At any time during the past five (5) years, has any surety company made any payments on your firm’s behalf as a result of a default to satisfy any claims made against a performance or payment bond issued on your firm’s behalf in connection with a construction project, either public or private?  
 YES       NO
  
8. In the last five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?  
 YES       NO
  
9. During the last five (5) years, has your firm ever been denied bond coverage by a surety company, or has there been a period of time when your firm had no surety bond in place during a public construction project when one was required?  
 YES       NO

10. Has CAL OSHA cited and assessed penalties against your firm or any firm with which any of your company's owners, officers, or partners were "associated with" for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?  
 YES     NO
11. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm or any firm with which any of your company's owners, officers, or partners were "associated with" in the past five years?  
 YES     NO
12. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or any firm with which any of your company's owners, officers, or partners were "associated with" or the owner of a project on which your firm was the contractor, in the past five years?  
 YES     NO
13. Has there been more than one occasion during the last five years in which your firm or any firm with which any of your company's owners, officers, or partners were "associated with" was required to pay either back wages or penalties for your own firm's failure to comply with the **state's** prevailing wage laws?  
 YES     NO
14. During the last five years, has there been more than one occasion in which your own firm or any firm with which any of your company's owners, officers, or partners were "associated with" has been penalized or required to pay back wages for failure to comply with the **Federal Davis-Bacon** prevailing wage requirements?  
 YES     NO
15. At any time during the last five years, has your firm or any firm with which any of your company's owners, officers, or partners were "associated with" been found to violate any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?  
 YES     NO